PTO/SB/05 (06-03)

Approved for use through 07/31/2003. OMB 0651-0032 U.S. Patent and Trademark Office. U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 00221.US1 DV1 Attorney Docket No. UTILITY FL Homa, et al PATENT APPLICATION First Inventor A Method For Treating Herpes Viruses TRANSMITTAL Title (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. Mail Stop Patent Application **Commissioner for Patents** APPLICATION ELEMENTS ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria VA 22313-1450 7. CD-ROM or CD-R in duplicate, large table or Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. (if applicable, all necessary) See 37 CFR 1.27. a. Computer Reader Form (CRF) [Total Pages 61 3. **X** Specification (preferred arrangement set forth below) - Descriptive title of the invention Specification Sequence Listing on: - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D CD-ROM or CD-R (2 copies); or - Reference to sequence listing, a table, or a computer program listing appendix ii. 🗶 Paper - Background of the Invention - Brief Summary of the Invention Statements verifying identity of above copies - Brief Description of the Drawings (if filed) - Detailed Description ACCOMPANYING APPLICATION PARTS - Claim(s) - Abstract of the Disclosure Assignment Papers (cover sheet & document(s)) 4. Drawing(s) (35 U.S.C. 113) [Total Sheets \_ 37 CFR 3.73(b) Statement Power of 10. Attorney (when there is an assignee) [Total Sheets \_\_\_\_ 11. English Translation Document (if applicable) 5. Oath or Declaration Copies of IDS a. Newly executed (original or copy) Information Disclosure 12. 🗶 Citations Statement (IDS)/PTO-1449 b. X Copy from a prior application (37 CFR 1.63(d)) Preliminary Amendment 13. (for continuation/divisional with Box 18 completed) Return Receipt Postcard (MPEP 503) 14. (Should be specifically itemized) 15. **DELETION OF INVENTOR(S)** Certified Copy of Priority Document(s) Signed statement attached deleting inventor(s) (if foreign priority is claimed) name in the prior application, see 37 CFR Nonpublication Request under 35 U.S.C. 122 16. മന 1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Application Data Sheet. See 37 CFR 1.76 6. 17. 🛣 Other: Supplemental IDS: 1449; Figure Replacement ... .. pages ..... 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76: of prior application No.: 09/904,065 X Divisional Continuation-in-part (CIP) Continuation Art Unit: 1648 Examiner Ali Reza Salimi Prior application information: For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 25533 OR Correspondence address below Customer Number: Name Address Zip Code State City Fax Telephone Country Registration No. (Attorney/Agent) 40,259 Name (Print/Type) Lucy X. Yang October 24, 2003 Signature

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (05-03) Approved for use through 04/30/2003. OMB 0651-0032

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| FEE TRANSMITTAL  for FY 2003  Effective 01/01/2003: Patent fees are subject to annual revision.  Applicant claims small entity status: See 37 CFR 1:27  TOTAL AMOUNT OF PAYMENT (\$) 770.00 |                       | Complete if Known   |                  |   |             |  |       |                             |                      |                        |  |
|---|-----------------------|---|------------------|---|-------------|--|-------|-----------------------------|----------------------|------------------------|--|
|   |                       | Application Number  |                  | Unknown   |             |  |       |                             |                      |                        |  |
|   |                       | Filing Date First Named Inventor Examiner Name Art Unit Attorney Docket No. |                  | FL Homa, et al Ali Reza Salimi 1648 00221.US1 DV1                           |             |  |       |                             |                      |                        |  |
|   |                       |   |                  |   |             | METHOD OF PAYMENT (check all that apply) |       |                             |                      | ALCULATION (continued) |  |
|   |                       |   |                  |   |             | Check Credit card Money Other No         | ne 3. | ADDIT                       | ONAL FEES            |                        |  |
|   |                       |   |                  |   |             |  |       | Large Entity : Small Entity |                      |                        |  |
|   |                       |   |                  |   |             | Deposit Account:                         | Fee   |                             | Fee Fee<br>Code (\$) | Fee Description        |  |
| Account 21-0718   | 105                   |   |                  | harge - late filing fee or oath   | Fee Paid    |  |       |                             |                      |                        |  |
| Deposit Account Pharmacia & Upjohn Company  | 105                   | 2 50  | 2052 25 Surc     | harge - late provisional filing fee or                                      |             |  |       |                             |                      |                        |  |
| Name  | 105                   | 3 130   | 1                | r sheet<br>English specification  |             |  |       |                             |                      |                        |  |
| he Director is authorized to: (check all that apply)  Charge fee(s) indicated below  Credit any overpaymer  | ıts 181               | 2 2,520   | 1812 2,520 For f | iling a request for ex parte reexamination                                  |             |  |       |                             |                      |                        |  |
| Charge any additional fee(s) during the pendency of this applica  | 190                   | 4 920'  |                  | uesting publication of SIR prior to<br>niner action                         |             |  |       |                             |                      |                        |  |
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| the above-identified deposit account.   |                       |   | Exa              | miner action  |             |  |       |                             |                      |                        |  |
| FEE CALCULATION   | 125                   |   |                  | nsion for reply within first month  |             |  |       |                             |                      |                        |  |
| . BASIC FILING FEE  | 125                   |   | <b>1</b>         | ension for reply within second month  |             |  |       |                             |                      |                        |  |
| rge Entity Small Entity<br>ee Fee Fee Fee Pee Description Fee Pa  | 125<br>i <b>d</b> 125 | 3 930<br>4 1.450  |                  | ension for reply within third month<br>ension for reply within fourth month |             |  |       |                             |                      |                        |  |
| ode: (\$) Code: (\$)  | aaa liifi             | 1,430<br>5 1,970  |                  | ension for reply within fifth month   |             |  |       |                             |                      |                        |  |
| 001 750 2001 375 Utility filing fee \$770.0   | 140                   |   |                  |   |             |  |       |                             |                      |                        |  |
| 002 330 2002 165 Design filing fee 003 520 2003 260 Plant filing fee  | 140                   |   |                  | ce of Appeal g a brief in support of an appeal                              |             |  |       |                             |                      |                        |  |
| 004 750 2004 375 Reissue filing fee   | 140                   |   |                  | uest for oral hearing   |             |  |       |                             |                      |                        |  |
| 005 160 2005 80 Provisional filing fee  | 145                   | 1 1,510   | 1451 1,510 Petil | ion to institute a public use proceeding                                    |             |  |       |                             |                      |                        |  |
| SUBTOTAL (1) (\$)770.00   | 145                   | 2 110   | 2452 55 Petil    | ion to revive - unavoidable   |             |  |       |                             |                      |                        |  |
|   | 145                   | 3 1,300   | 2453 650 Peti    | tion to revive - unintentional  |             |  |       |                             |                      |                        |  |
| . EXTRA CLAIM FEES FOR UTILITY AND REISS Fee from   | 150                   | 1 1,300   | 2501 650 Utili   | ly issue fee (or reissue)   |             |  |       |                             |                      |                        |  |
| Extra Claims below Fee P  otal Claims 2 -20** = X = \$0.00  |                       |   |                  | ign issue fee   |             |  |       |                             |                      |                        |  |
| ndependent 2** _ \$0.00   | 150                   |   |                  | nt issue fee  |             |  |       |                             |                      |                        |  |
| Validitiple Dependent 0.00 ≠ 0.00   | 146                   |   |                  | tions to the Commissioner   | <del></del> |  |       |                             |                      |                        |  |
| arge Entity   Small Entity  | 180                   |   |                  | cessing fee under 37 CFR 1.17(q)  |             |  |       |                             |                      |                        |  |

| and over original patent  SUBTOTAL (2) (\$) 0.00                 | of a design application Other fee (specify)              |
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| **or number previously paid, if greater; For Relssues, see above | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00 |
| SUBMITTED BY   | (Complete (if applicable)                                |
| Name (Print/Type) Lucy X. Yang                                   | Registration No. (Attorney/Agent) 40,259 Telephone       |
|  |  |

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Fee Description

Claims in excess of 20

Independent claims in excess of 3

Reissue independent claims over original patent

\*\* Reissue claims in excess of 20

Multiple dependent claim, if not paid

Fee:

1202

1201

1203 280

1204

1205

Code (\$)

18

84

Fee Fee

Code (\$)

2202

2201

2204

2205

2203 140

9

42

180:

40

750

750

750

900

1806

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180 Submission of Information Disclosure Strnt

property (times number of properties)

375 Request for Continued Examination (RCE)

40 Recording each patent assignment per

375 Filing a submission after final rejection (37 CFR 1.129(a))

375 For each additional invention to be examined (37 CFR 1.129(b))

900 Request for expedited examination

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